

Contact

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Top Skills

Organisational skills and competences

Results oriented

Analytical skills

Silviu Turc

Compliance Officer at BRK Financial Group
Cluj County, Romania

Experience

BRK Financial Group
Compliance Officer
January 2019 - Present
Cluj County, Romania

Key function approved by The Romanian Financial Supervisory Authority, duties on internal procedures constant update on financial investment legal framework changes, supervision, update on the account opening forms used by the company, supervision of the documentation linked to the prospectus of public offers (IPOs, SPOs, tender offers), corporate governance, coverage of regulatory aspects of company's expanding abroad current projects. AML and Corporate Governance duties within the company covered as well.

SC Facos SA
Board Member
June 2017 - June 2019 (2 years 1 month)
Suceava, Romania

Supervising the company's transition from a local meat and canned meat producer and a declining turnover to an IKA supplier in Romania, a well positioned gourmet meat manufacturer in Romania, with an established brand, and to a shift towards exports in The Arabic Countries of the Halal products; the completion of a profitable exit from the company in June, 2019

BRK Financial Group
Corporate Representative
January 2017 - January 2019 (2 years 1 month)
Cluj-Napoca, Romania

Finance structuring for corporate debt notes issuing, corporate client hunting for financial investment services, regulated markets specialist (IPOs, SPOs, Public Offers Prospectus writing and implementation)

BRK Financial Group
Temporary Compliance Officer
May 2018 - July 2018 (3 months)
Cluj County, Romania

Supervision, update of the account opening forms used by the company in relation to the financial investors, translating the MiFID II provisions to the updated agreements, supervision of the documentations linked to the Romanian FSA approval of the public offers arranged by the company for listed companies (IPOs, SPOs, tender offers)

Sibex Stock Exchange Depository

Board Member

July 2017 - May 2018 (11 months)

Sibiu, Romania

Supervising the depository and register services for financial instruments issuers, clearing for financial instruments trading

Real Estate Project

Project Manager

March 2014 - December 2016 (2 years 10 months)

Cluj-Napoca, Romania

Personal real estate development project in Cluj-Napoca, consisting of semi-detached houses, groomed from land acquisition to final housing sale

Technical University of Cluj-Napoca- CPADDD (Durable Development Entrepreneurship Promotion Center)

Long term expert

October 2011 - June 2014 (2 years 9 months)

Cluj-Napoca, Romania

Consultant in an durable development entrepreneurs program conducted by the University, disseminating information regarding financial issues of this topic, as well as the results of the conducted studies, and tailored consultancy for the management of the SMEs involved in the project, with the goal of better understanding and acting in durable development

SSIF Broker SA

Head of Trading Department

April 2009 - October 2011 (2 years 7 months)

Cluj-Napoca, Romania

Department running from April, 2009, the moment of promotion to Trading Department Manager, setting-up and leading The Managed Accounts Department (2010-2011), equities and financial derivatives trader on Bucharest Stock Exchange and Sibiu Derivatives Exchange, individual clients portfolio manager, portfolio manager for SSIF Broker SA (team member),

reporting to the financial market national authorities, promoting investment trading to mass media channels (TV appearances, columnist)

SSIF Broker SA

Equities and derivatives trader

November 2004 - April 2009 (4 years 6 months)

Cluj-Napoca, Romania

Equities and financial derivatives trader on Bucharest Stock Exchange and Sibiu Derivatives Exchange, individual clients portfolio manager, portfolio manager for SSIF Broker (team member), reporting to the financial market national rulers, promoting investment trading to mass media channels (TV appearances, written articles)

Education

Babeş-Bolyai University

Master's degree, Small Business Administration/Management · (2004 - 2005)

Université Clermont Auvergne

Master's degree, Business Administration and General Management · (2001 - 2005)

Babeş Bolyai University, Cluj-Napoca, Faculty of Economics

Bachelor's degree, Banks and Stock Exchanges · (2000 - 2004)